



2631

#3  
6/20/03  
S/I

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/955,566
		Filing Date	September 17, 2001
		First Named Inventor	Manish Shaw
		Group Art Unit	2631
		Examiner Name	Unassigned
Total Number of Pages in This Submission	16	Attorney Docket Number	4259P033
		RECEIVED JUN 06 2003 Technology Center 2000	

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; height: 40px; margin-top: 10px;">Copies of cited art (8). Return Postcard</div>
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas C. Webster, Reg. No. 46,154 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	6/21/03

### CERTIFICATE OF MAILING/TRANSMISSION

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Signature		Date
		June 2, 2003

Based on PTO/SB/21 (05-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 05/02/2003.  
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**EE TRANSMITTAL**  
**for FY 2003**

*Effective 01/01/2003. Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** **(\\$)**

10 of 10 | Page | 100% Read | Print | Report | Feedback | Help

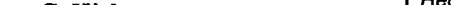
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METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)				
<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None	3. ADDITIONAL FEES				
<input checked="" type="checkbox"/> Deposit Account					Large Entity		Small Entity		
Deposit Account Number		02-2666			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
Deposit Account Name		Blakely, Sokoloff, Taylor & Zafman LLP			1051	130	2051	65	Surcharge - late filing fee or oath
					1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
					2053	130	2053	130	Non-English specification
					1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
					1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
					1251	110	2251	55	Extension for reply within first month
					1252	410	2252	205	Extension for reply within second month
					1253	930	2253	465	Extension for reply within third month
					1254	1,450	2254	725	Extension for reply within fourth month
					1255	1,970	2255	985	Extension for reply within fifth month
					1404	320	2401	160	Notice of Appeal
					1402	320	2402	160	Filing a brief in support of an appeal
					1403	280	2403	140	Request for oral hearing
					1451	1,510	2451	1,510	Petition to institute a public use proceeding
					1452	110	2452	55	Petition to revive - unavoidable
					1453	1,300	2453	650	Petition to revive - unintentional
					1501	1,300	2501	650	Utility issue fee (or reissue)
					1502	470	2502	235	Design issue fee
					1503	630	2503	315	Plant issue fee
					1460	130	2460	130	Petitions to the Commissioner
					1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
					1806	180	1806	180	Submission of Information Disclosure Stmt
					8021	40	8021	40	Recording each patent assignment per property (times number of properties)
					1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
					1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
					1801	750	2801	375	Request for Continued Examination (RCE)
					1802	900	1802	900	Request for expedited examination of a design application
					Submission of IDS prior to First Office Action				
					0.00				
<b>FEE CALCULATION</b>					<b>Fee Paid</b>				
1. BASIC FILING FEE									
Large Entity		Small Entity							
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid				
1001	750	2001	375	Utility filing fee					
1002	330	2002	165	Design filing fee					
1003	520	2003	260	Plant filing fee					
1004	750	2004	375	Reissue filing fee					
1005	160	2005	80	Provisional filing fee					
SUBTOTAL (1) (\$)									
2. EXTRA CLAIM FEES					Fee Paid				
Total Claims <input type="text"/> - 20** = <input type="text"/> X <input type="text"/> = <input type="text"/> Independent Claims <input type="text"/> - 3 = <input type="text"/> X <input type="text"/> = <input type="text"/> Multiple Dependent <input type="text"/> = <input type="text"/> X <input type="text"/> = <input type="text"/>									
Large Entity					Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description					
1202	18	2202	9	Claims in excess of 20					
1201	84	2201	42	Independent claims in excess of 3					
1203	280	2203	140	Multiple Dependent claim, if not paid					
1204	84	2204	42	**Reissue independent claims over original patent					
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2) (\$)									
**or number previously paid, if greater, For Reissues, see below									
* Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$)				
Complete (if applicable)									

Name (Print/Type)	Thomas C. Webster	Registration No. (Attorney/Agent)	46,154	Telephone	(408) 720-8300
Signature				Date	6/203

Based on PTO/SB/17 (01-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 05/02/2003.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Docket No.: 4259P033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MANISH SHAW, ET AL.

Application No.: 09/955,566

Filed: September 17, 2001

For: **A Forward Tracing Decoder Apparatus  
and Method**

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Technology Center 2600

Art Group: 2631

Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 6/2/03

Thomas C. Webster  
Thomas C. Webster, Reg. No. 46,154

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(408) 720-8300

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Janece Shannon  
Janece Shannon

*6-2-2003*  
06-02-03

Date

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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